

DEBT MARKET - INVESTOR COMPLAINTS DATA

Name of Merchant Banker : Equirus Capital Private Limited

SEBI Registration Number : INM000011286

Data for month ending : December 2021

Activity: Consolidated Report

S.N.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	NA	0	0	0	0	0
2.	SEBI (SCORES)	NA	0	0	0	0	0
3.	Stock Exchanges(if relevant)	NA	0	0	0	0	0
4.	Other Sources (if any)	NA	0	0	0	0	0
5.	Grand Total	-	0	0	0	0	0

Trend of monthly disposal of complaints for the financial year-

S.N.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	January, 2022	NA	0	0	0
2.	February, 2022	NA	NA	NA	NA
3.	March, 2022	NA	NA	NA	NA
	Grand Total	0	0	0	0

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of annual (Financial year) disposal of complaints (for 3 years on rolling basis)-

S.N.	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2019-20	NA	NA	NA	NA
2.	2020-21	NA	NA	NA	NA
3.	2021-22	NA	NA	NA	NA
	Grand Total	-	-	-	-

Notes:

1. The Company has not handled the following activity since inception & therefore no data has been disclosed:
 - Municipal Debt Securities

1. Category: Public issue of debt securities

S.N.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time [^] (in days)
1.	Directly from Investors	NA	0	0	0	0	0
2.	SEBI (SCORES)	NA	0	0	0	0	0
3.	Stock Exchanges (if relevant)	NA	0	0	0	0	0
4.	Other Sources (if any)	NA	0	0	0	0	0
5.	Grand Total	-	0	0	0	0	0

Trend of monthly disposal of complaints for the financial year-

S.N.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	January, 2022	NA	0	0	0
2.	February, 2022	NA	NA	NA	NA
3.	March, 2022	NA	NA	NA	NA
	Grand Total	0	0	0	0

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of annual (Financial year) disposal of complaints (for 3 years on rolling basis)-

S.N.	Year	Carried forward from previous year	Received during the Particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2019-20	NA	NA	NA	NA
2.	2020-21	NA	NA	NA	NA
3.	2021-22	NA	NA	NA	NA
	Grand Total	-	-	-	-

2. Category: Public issue of non-convertible redeemable preference shares

S.N.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time [^] (in days)
1.	Directly from Investors	NA	0	0	0	0	0
2.	SEBI (SCORES)	NA	0	0	0	0	0
3.	Stock Exchanges (if relevant)	NA	0	0	0	0	0
4.	Other Sources (if any)	NA	0	0	0	0	0
5.	Grand Total	-	0	0	0	0	0

Trend of monthly disposal of complaints for the financial year-

S.N.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	January, 2022	NA	0	0	0
2.	February, 2022	NA	NA	NA	NA
3.	March, 2022	NA	NA	NA	NA
	Grand Total	0	0	0	0

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of annual (Financial year) disposal of complaints (for 3 years on rolling basis)-

S.N.	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2019-20	NA	NA	NA	NA
2.	2020-21	NA	NA	NA	NA
3.	2021-22	NA	NA	NA	NA
	Grand Total	-	-	-	-

3. Category: Private placement of debt securities and non-convertible redeemable preference shares

S.N.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time [^] (in days)
1.	Directly from Investors	NA	0	0	0	0	0
2.	SEBI (SCORES)	NA	0	0	0	0	0
3.	Stock Exchanges (if relevant)	NA	0	0	0	0	0
4.	Other Sources (if any)	NA	0	0	0	0	0
5.	Grand Total	-	0	0	0	0	0

Trend of monthly disposal of complaints for the financial year-

S.N.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	January, 2022	NA	0	0	0
2.	February, 2022	NA	NA	NA	NA
3.	March, 2022	NA	NA	NA	NA
	Grand Total	0	0	0	0

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of annual (Financial year) disposal of complaints (for 3 years on rolling basis)-

S.N.	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2019-20	NA	NA	NA	NA
2.	2020-21	NA	NA	NA	NA
3.	2021-22	NA	NA	NA	NA
	Grand Total	-	-	-	-