

**EQUIRUS SECURITIES PRIVATE LIMITED  
(STOCK BROKER COMPLAINT DATA)**

**Data for month ending November 2021**

Sr. No.	Received from	Carried forward from previous month	Received during the month	Total pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	Nil	0	0	N.A.	N.A.	N.A.	---
2	SEBI (SCORES)	Nil	0	0	N.A.	N.A.	N.A.	---
3	Stock Exchanges	Nil	0	0	N.A.	N.A.	N.A.	---
4	Other sources (if any)	Nil	0	0	N.A.	N.A.	N.A.	---
	<b>Grand Total</b>	<b>Nil</b>	<b>0</b>	<b>0</b>	<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>	<b>---</b>

**Trend of monthly disposal of complaints**

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	April 2021	Nil	0	N.A.	N.A.
2	May 2021	Nil	0	N.A.	N.A.
3	June 2021	Nil	0	N.A.	N.A.
4	July 2021	Nil	0	N.A.	N.A.
5	August 2021	Nil	0	N.A.	N.A.
6	September 2021	Nil	0	N.A.	N.A.
7	October 2021	Nil	0	N.A.	N.A.
8	November 2021	Nil	0	N.A.	N.A.

\* Includes complaints of previous months resolved in the current month, if any.

\*\* Includes total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

**Trend of annual disposal of complaints**

Sr. No.	Month	Carried forward from previous year	Received during year	Resolved during year	Pending at the end of the year
1	2017-18	Nil	0	N.A.	N.A.
2	2018-19	Nil	0	N.A.	N.A.
3	2019-20	Nil	0	N.A.	N.A.
4	2020-21	Nil	0	N.A.	N.A.
	<b>Grand Total</b>	<b>Nil</b>	<b>0</b>	<b>N.A.</b>	<b>N.A.</b>